

East Peoria, IL

May 18, 2018



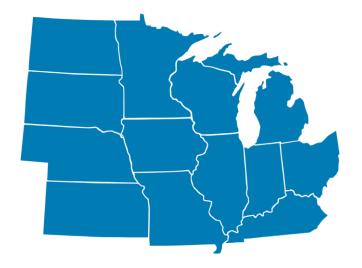
About MEEA

The Trusted Source on Energy Efficiency

We are a nonprofit membership organization with 160+ members, including:

- Utilities
- Research institutions
- State and local governments
- Energy efficiency-related businesses

As the key resource and champion for energy efficiency in the Midwest, MEEA helps a diverse range of stakeholders understand And implement cost-effective energy efficiency strategies that provide economic and environmental benefits.





About MEEA

The Trusted Source on Energy Efficiency

You are the experts.



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You are the experts.

We are here to help.



Agenda 1:00pm-4:30pm

- 1. Introductions | Overview | Meeting Goals
- 2. Ongoing Compliance Work in Illinois
- 3. Upcoming Energy Code Studies
- Upcoming baseline compliance studies
- Other commercial building studies
- Review residential sampling plan

Break

- 4. Results of Commercial Buildings Retrofit Survey
- 5. Discussion | Review of items identified in past meetings
- 6. Discussion | Collaborative structure

How do we structure this Collaborative?

- 7. Discussion | Involvement in Baseline Studies
- 8. Next Steps | Next Meeting Date
- Did we meet the goal of today's meeting?
- What else should we work on?





Collaborative Overview Meeting Goals



Meeting Goals Compliance Collaboratives

- Inform stakeholders about upcoming baseline studies and program
- Begin establishing the key areas that need attention for energy code compliance
- Gain commitment for future participation



What and Why

Energy Codes Compliance Collaboratives

 What: A group of stakeholders that come together on a regular basis to explore common interests and address obstacles related to energy code compliance

 Why: To establish a forum for identifying and tackling obstacles to improving energy code compliance (eventual goal 100%)



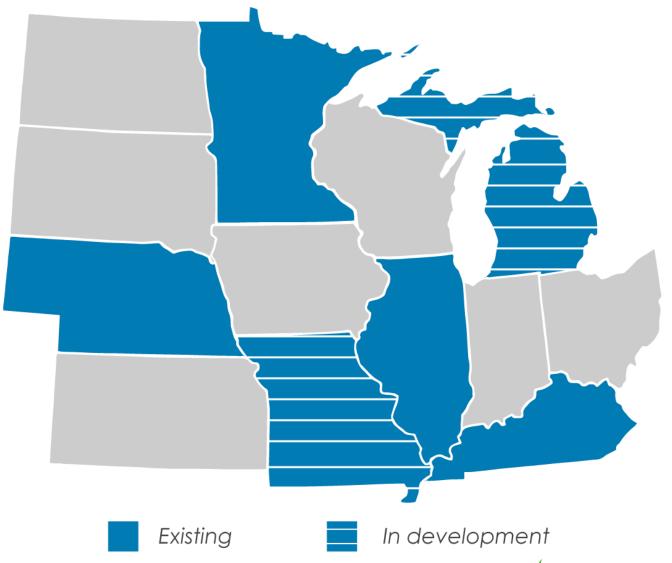
Potential Benefits

Energy Codes Compliance Collaboratives

- Improved rates and ease of compliance
- Identification and coordination of support and incentives
- Increased education/training opportunities
- Opportunity to learn from shared experiences
- Improved building stock and healthier indoor environments
- Collective understanding of code interpretations and verification
- Awareness of common practices, compliance rates and opportunities for improvement



Midwest Energy Codes Compliance Collaboratives





Midwestern Collaboratives

- Nebraska
- Minnesota
- Kentucky
- Illinois
- Missouri (in process)
- Michigan (in process)



Nebraska Updates

- Created homebuilder pamphlet, distributed with every permit
- Residential baseline study almost completed – with students
- Commercial baseline study underway for CZ 5 – with students
- 2 surveys conducted (trainings, members)
- Review of state benchmarking effort
- Next steps: review baseline studies for improvement opportunities



Minnesota Last Year

- Created commercial subsubcommittees
- Working to address definition of "multifamily" and when which code applies
- Working on commercial renovations
- Creating a "Commercial Pathway" document to assist builders and code officials with how to navigate compliance between IECC and ASHRAE.
- http://www.mnenergycodecompliance.org/



Kentucky Stakeholder Group



Image courtesy of Only In Your State



Collaboratives Sample Members

- Dept of Buildings
- State Energy Office
- Code officials
- MEEA
- EE advocates
- Raters
- HBAs and homebuilders
- AIA and architects
- ASHRAE

- Utilities
- Academics
- League of Municipalities
- Legislative liaison (Nebraska)
- Materials suppliers
- Cadmus (during data collection)

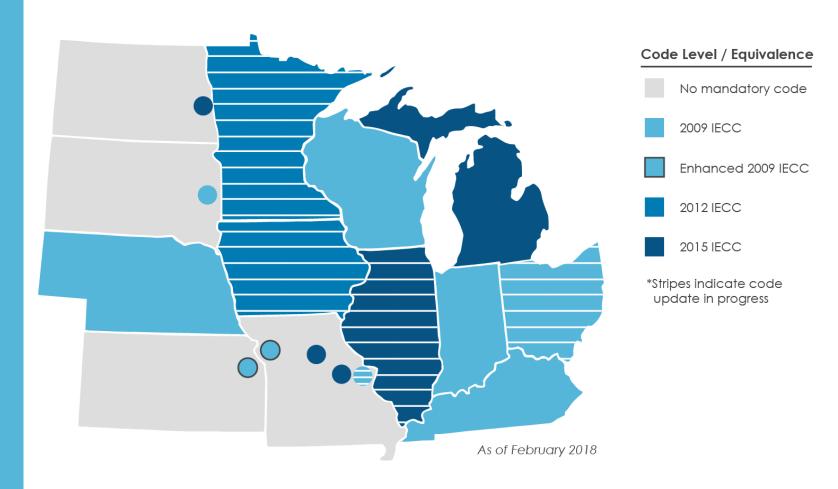




Ongoing Compliance Work

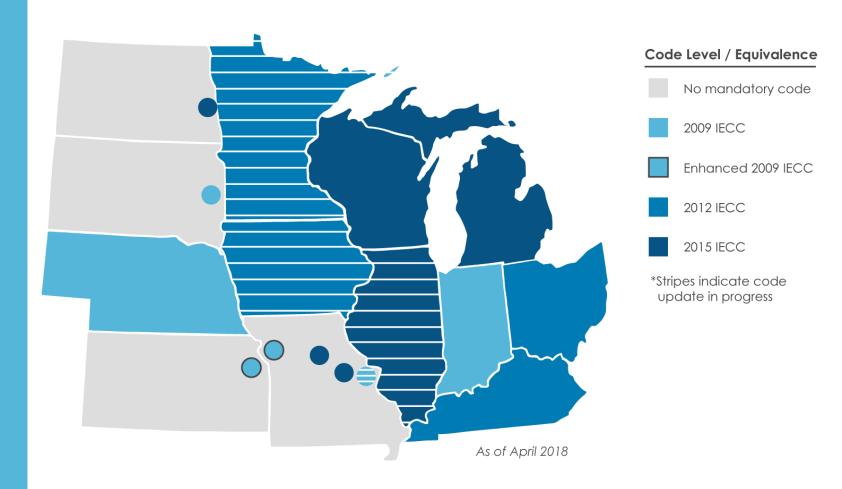


Midwest Energy Codes Residential Code





Midwest Energy Codes Commercial Code







Providing effective energy strategies for buildings and communities

Illinois Energy Conservation Code Training Program



Who we are

We assist buildings and communities in achieving energy efficiency, saving money, and becoming more sustainable.

We are an applied research program at University of Illinois, working in collaboration with 360 Energy Group.

Our goal: Reduce the energy footprint of Illinois.





SEDAC is the Illinois Energy Conservation Code Training Provider

This training program is sponsored by Illinois EPA





Energy Code Assistance

- Technical support
 - 800.214.7954
 - energycode@sedac.org
- Online resources at sedac.org/energy-code
- Workshops
- Webinars
- Online on-demand training modules





www.sedac.org/energy-code

ABOUT SEDAC

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Energy Code Training

Illinois Energy Conservation Code

Workshops

Webinars

Online training

Resources

Contact us

Energy Code Training

SEDAC is the Illinois Energy Conservation Code training provider

The Smart Energy Design Assistance Center (SEDAC) is providing training to increase awareness of the Illinois Energy Conservation Code and to improve the energy efficiency of new construction and renovation in Illinois. Community code officials, construction professionals and trades, and design professionals such as architects and engineers are invited to participate. SEDAC will be offering workshops, webinars, online training, resources, and technical support. This program is funded by the Illinois Environmental Protection Agency (IEPA), in compliance with Illinois law.

Smart Energy Design Assistance Center University of Illinois 1 St Mary's Road | Champaign, IL 61820 800.214.7954 | info@sedac.org

NEWSLETTER













2015 IECC:

https://codes.iccsafe.org/public/document/toc/545/

Illinois Amendments:

https://www2.illinois.gov/cdb/business/codes/Documents/Illinois%20

Specific%20Amendments%20with%20Modifications%20Shown.pdf

2018 IECC:

https://codes.iccsafe.org/public/document/iecc2018



Questions?

energycode@sedac.org 800-214-7954

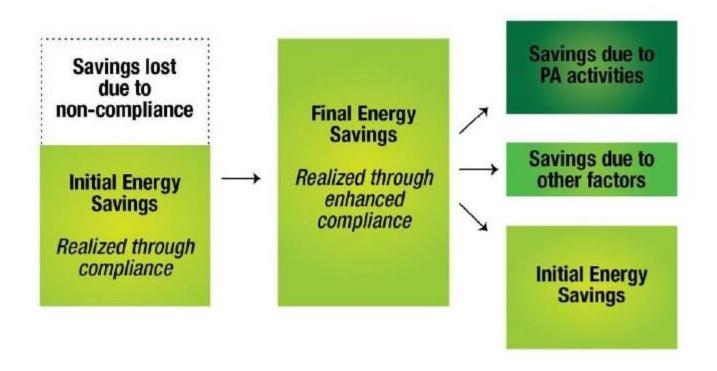




Upcoming Baseline Compliance Studies



Opportunities for Claimed Savings Energy Code Compliance



Source: Attributing Building Energy Code Savings to Energy Efficiency Programs (2013), Institute for Market Transformation, Institute for Electric Innovation, Northeast Energy Efficiency Partnerships





Illinois Energy Code Baseline Study

Illinois Energy Code Compliance Collaborative May 18, 2018



Outline

- Residential Study Background
- Residential Study Process
 - Data Collection
 - Data Analysis
 - Support Program
 - Energy Savings
- Commercial Study
- Questions



Residential Study Background

- In 2014 the US Department of Energy funded residential energy code baseline studies in eight states
- Establish residential energy code compliance baseline, and determine if focused training & support can improve compliance
- 3-year, three phase, statewide program targeting new, single-family homes
 - Baseline Study and Analysis
 - Support Program
 - Post Program Study and Analysis
- MEEA was the lead agency for the KY Study
- Collected data will be anonymous



Residential Study Identifying Key Items

- Prior to starting the study, the Pacific Northwest National Laboratory (PNNL) conducted sensitivity analysis
 - KY study was based on prescriptive and mandatory provisions of the 2009 IECC
 - Determined which code requirements drive the majority of energy savings (Key Items)
 - Same key items for all climate zones
- Eight states participated in the studies, including Kentucky, Alabama, Arkansas, Georgia, Maryland, North Carolina, Pennsylvania, and Texas



Residential Study Key Items

- Envelope Tightness (ACH50)
- Window Solar Heat Gain Coefficient
- Window U-factor
- Wall Insulation (R-value and Quality)
- Ceiling Insulation (R-value and Quality)

- Foundation Insulation (R-value and Quality)
- High Efficacy Lighting
- Duct Leakage (CFM25)
- Manual J Data (not a DOE key item)
- Manual D Data (not a DOE key item)



PHASE ONE Data Collection & Analysis



Phase 1 Overview

- Establish statewide sampling plan
- Contact jurisdictions and HBA's to obtain lists of permitted homes under construction
- Contact builders / owners to gain site access
 - Identify Manual J and Manual D homes
- Schedule data collection visits and make observations
- QC data and upload to Pacific Northwest National Laboratory (PNNL) for analysis
- Share analysis with stakeholders
- Design and start support program!



Phase 1 Overview

- Homes will be visited either at insulation stage or just before Certificate of Occupancy
 - Only one visit per home
- A complete set of data will not be collected from any single home – data will be aggregated in order to be analyzed
- Data collectors will not interfere with ongoing operations and will be on site for less than an hour
- All collected data will be scrubbed of identifying information prior to analysis
 - All individual home data will be given a unique identifier similar to IL-1000, IL-1100, IL-1200, etc.



Phase 1

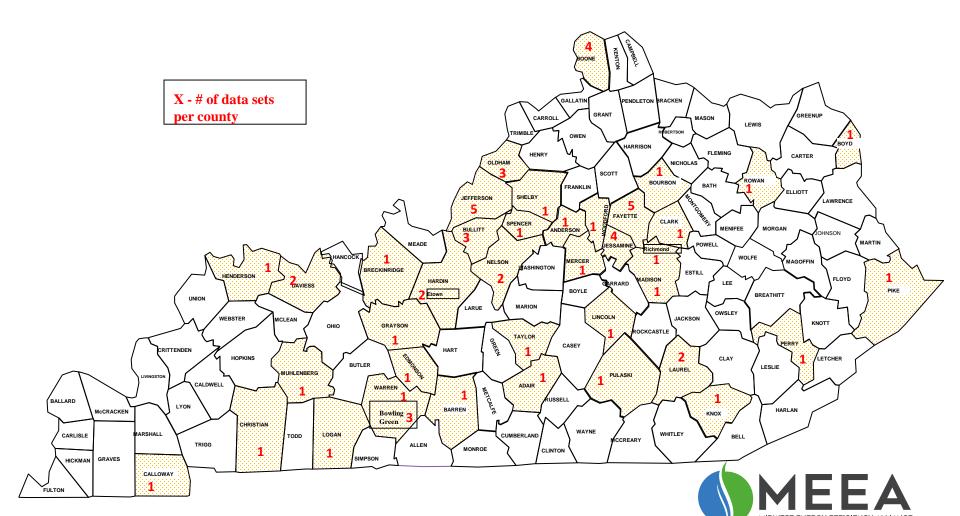
Data Collection Process

- Follow DOE data collection protocol
 - Randomized Sampling Plan
 - Key Items Must be Observed
 - No assumed or default values
 - Minimum of 63 Observations of Each Key Item
 - Single Visit to a Given Home
 - Statistically Significant Results at State Level
- Survey team will spend about 4-5 months collecting field data
- Collaborative will provide feedback and guide the project



Final Sampling Plan

Phase 1 - Key Item Sampling Plan



Phase 1 PNNL Analysis

PNNL will conduct three separate analyses of the collected data

Statistical Analysis

 Examination of the field data, and data distribution relative to compliance requirements

Energy Analysis

Modeling of energy consumption representative of observed homes

Measure-Level Savings Analysis

 Projection of potential savings associated with improved compliance

Phase 1 Measure-Level Analysis

- Key items are individually examined to determine the number of worse-than-code observations
- All key items having 15% or more noncompliant observations are included in the measure-level analysis
- An individual "as-built" model is created for each non-compliant value, with all other values remaining at code compliant levels



Phase 1 Measure-Level Analysis

- This allows the savings potential from each key item to be evaluated in isolation
- Differences in energy use are weighted according to the frequency of each observation to arrive at an average energy savings potential for each key item
- State-specific construction volumes and fuel prices are then used to calculate the energy savings potential of full compliance for that key item



Phase 1

KY Annual Potential Compliance Savings

		Annual Savings			
	Key Measure	Energy (MMBtu)	Cost (\$)		
1	Envelope Air Leakage	27,182	\$484,314		
2	Ceiling Insulation	11,372	\$215,656		
3	Exterior Wall Insulation	9,277	\$171,044		
4	Foundation Insulation	6,800	\$108,156		
5	Lighting	5,742	\$197,544		
6	Duct Leakage	2,135	\$43,142		
Total		62,508 MMBtu	\$1,219,856		



Phase 1

KY Cumulative Potential Compliance Savings

	Total Energy Savings (MMBtu)			Total Energy Cost Savings (\$)			
Measure	5yr	5yr 10yr 30yr		5yr	10yr	30yr	
Envelope Air Leakage	407,730	1,495,010	12,639,630	\$7,264,710	\$26,637,270	\$225,206,010	
Ceiling Insulation	170,580	625,459	5,287,971	\$3,234,844	\$11,861,095	\$100,280,170	
Exterior Wall Insulation	139,155	510,235	4,313,805	\$2,565,660	\$9,407,420	\$79,535,460	
Foundation Insulation	101,997	373,989	3,161,903	\$1,622,345	\$5,948,598	\$50,292,689	
Lighting	86,130	315,810	2,670,030	\$2,963,160	\$10,864,920	\$91,857,960	
Duct Leakage	32,025	117,425	992,775	\$647,130	\$2,372,810	\$20,061,030	
TOTAL	937,620	3,437,939	29,066,211	\$18,297,844	\$67,092,095	\$567,233,170	



HVAC Right-Sizing Potential Analysis Results

- An ACCA Manual J analysis was performed on homes and the design unit compared to the installed unit
- Phase 1 data found that the average installed unit was oversized by 159% compared to the right-sized design unit
- Annual potential demand savings from rightsizing was ~2.4 MW
 - There was also an additional ~2.9 MW of demand savings potential from key item compliance
- Annual unnecessary consumer expense associated with oversizing was estimated at about \$30 million dollars annually



HVAC Design Manual D Analysis

- The ACCA Manual D analysis is designed to better understand the air distribution system
- Connect the dots to 4 aspects of the system
 - Unit Size
 - Duct Design (layout and sizing)
 - Duct Leakage
 - Room Air Flow (cfm)
- Duct design will compare installed system with individually modeled software design
- Room-by-room loads will be calculated and design air flow rates compared to actual flow rates
- The goal is to see if proper air flow is being delivered using compliant components
- If not, then identify the common issues



Commercial Survey Overview

- Similar to the residential study, the commercial study will survey high impact measures and analyze the results
- Unlike the residential survey, the commercial survey is not intended to achieve the "statistical significance" label
 - Too many use types and size variation to cost effectively survey
- Will survey most common commercial building types
- Sampling plans and methodology are being finalized



Commercial Survey Other Studies

- DOE Study
 - Baseline study looking at Office and Retail Buildings
 - Climate zones 3A and 5A
 - Currently in NE and IA, but IL survey likely
- ASHRAE Study
 - RFP for energy use study for medium sized office buildings and secondary schools
 - Compares energy use of 90.1-2004 and 90.1-2010



PHASE TWO Training and Education



Phase 2 Overview

- Phase 2 programs are based on findings of Phase 1 analysis
- Measure level analysis allows for tightly focused education and training programs that can delve deeper into identified issues
- Individualized assistance for each sector code officials, contractors, and design professionals
- Central idea of Phase 2 is to focus on code officials, builders, and design professionals, and pro-actively reach out to them



Phase 2 Caveats

- The Phase 2 programs discussed are a review of the residential programs implemented in KY which ran for two years, 2016 and 2017
 - Circuit Rider
 - Targeted In-person Classroom Training
- IL programs will be based on the findings of the baseline survey and will include commercial building programs
 - IL will have its own unique mix
- IL also has ongoing state sponsored training and continuing utility EE programs, KY had neither
 - http://www.epa.illinois.gov/topics/energy/index
- The code support program will be designed to supplement existing programs with focused complementary programs
 - No reason to duplicate efforts



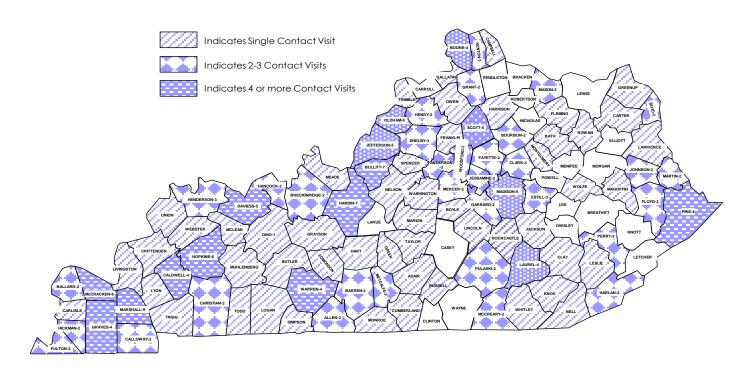
Phase 2 Circuit Rider Program

- Hired retired code official as circuit rider
- Pro-actively reach out to code officials, homebuilders, and other stakeholders on a regular basis
- Provide individual assistance at stakeholder's office or jobsite
- Establish and maintain trusted advisor relationship
- Traveled over 32,450 miles



Phase 2 Circuit Rider Program

Kentucky Circuit Rider Visits Through 09/27/2017





Phase 2 In Person Training Program

- 25 full-day training sessions offered in 14 different counties across the state
- 1 half-day class for stakeholder group
- Classes approved for CEU credits required for code officials and HVAC contractors
- Almost 400 students and over 3,000 contact hours
- Training Topics
 - HVAC Design and Sizing Principals
 - Air Sealing and Insulation Principals
 - Common Compliance Challenges



Phase 2 Other Programs

- Project website with collaborative meeting slides, reports, links to useful information, etc.
- Telephone and email "hot line"
 - Wildly underutilized resource
- Online Videos
 - 14 short videos on You Tube
 - Introductory in nature
- Research and Analysis
 - Visual Inspection and ACH
 - High Efficacy Lighting Enforcement Gap
 - Duct Leakage in Conditioned Space



Phase 2 Outreach

- Created 14 short (5-15 minute) code overview videos and posted on YouTube – about 700 views to date
- Made 37 presentations with a total attendance of 1,128 people
- Distributed about 1,500 pieces of compliance related literature
 - 734 compliance guides
 - 380 compliance certificates (blank)
 - 254 code books
 - 49 insulation guides
 - 49 resource cards



PHASE THREE Déjà Vu All Over Again



Phase 3 Methodology

- Create a **new** randomized sampling plan
- Conduct a second data collection effort following the same protocol
- Analyze and compare Phase 3
 data to Phase 1 data to determine
 impact of Phase 2



KY PNNL Results

(The final report has not of been officially issued so they won't let me call it the PNNL Analysis)



Measure Comparison

KY - Non-compliance comparison: Phase			
	Phase I Non-	Phase III Non-	Percentage Point
Measure	Compliance	Compliance	Improvement
Envelope Air Leakage	32%	2%	30
Ceiling Insulation (R-value)	13%	11%	2
Ceiling Insulation (quality)	58%	40%	18
Exterior Wall Insulation (R-value)	1%	0%	1
Exterior Wall Insulation (quality)	66%	58%	8
Foundation Insulation (R-value)	19%	30%	-11
Foundation Insulation (quality)	86%	76%	10
Lighting	67%	60%	7
Duct Leakage (conditioned space)	80%	65%	15
Duct Leakage (unconditioned space)	32%	39%	-7
Window U-Factor	2%	9%	-7



PNNL "Results"

Phase 1	Phase 3
---------	---------

Measure	Total Energy Savings (MMBtu)	Total Energy Cost Savings (\$)	Total State Emissions Reduction (MT CO2e)	Total Energy Savings (MMBtu)	Total Energy Cost Savings	Total State Emissions Reduction (MT CO2e)
Envelope Air Leakage	27,182	\$484,314	3,092	581	\$10,321	65
Ceiling Insulation	11,372	\$215,656	1,080	4,835	\$91,786	595
Exterior Wall Insulation	9,277	\$171,044	1,102	8243	\$151,974	976
Foundation Insulation	6,800	\$108,156	668	11,676	\$178,905	1,075
Lighting	5,742	\$197,544	1,427	4,454	\$153,383	1,130
Duct Leakage	2,135	\$43,142	284	17,151	\$342,217	2,251
TOTAL	62,508	\$1,219,856	7,653	46,941	\$928,585	6,093
Saving				25%	24%	20%



Residential Study Proposed Sampling Plan

- Sampling plan is based on US Census singlefamily permit data
- All permits (statewide, by jurisdiction) are assigned an random number
- The random numbers are put in numerical sequence and the first 63 are the sampling plan
 - PNNL determined that a minimum of 63 data sets were required for statistical significance
- Places with more permits will likely get more of the 63 slots but it is not strictly proportional



Residential Study Proposed Sampling Plan





Conclusions Really Just Some Observations

- There is an opportunity for improving the building quality through improved compliance
- Actual improvement can be achieved in cost-effective ways
- Opportunity for ongoing stakeholder engagement
- Others have learned from the KY study
 - Ameren MO



Questions





Thank You For Your Participation!

Chris Burgess
cburgess@mwalliance.org
312-784-7261









--Break--





Results from Commercial Buildings Retrofit Survey





Existing Commercial Buildings and the Energy Code: An Illinois Enforcement Study

IL Energy Codes Collaborative



Code Official Survey

Background

- Vast majority of energy use (and savings) are in existing buildings
- IEBC Section 104.10: "Wherever there are practical difficulties involved in carrying out provisions of this code, the code official shall have authority to grant modifications for individual cases"
- Variance deviation from code requirements



Code Official Survey

Objectives

- Assess how the commercial chapter of the 2015 IECC is understood and enforced in existing building alterations, renovations or retrofits
- Understand how often variances to the energy code are requested and granted for these projects
- Identify main reasons why variance requests are made and granted



Methodology Survey Design

- 10-15 minute survey
- Distributed to code officials in IL
- Three Sections to Survey:
 - Qualifying questions
 - Permitting differences in building components:
 - Roof replacements
 - Exterior wall modifications
 - Window modifications
 - Lighting alterations
 - HVAC system alterations
 - Feedback: Useful tools/guidance for enforcement
- Results collected over 1 month



Qualifying Questions



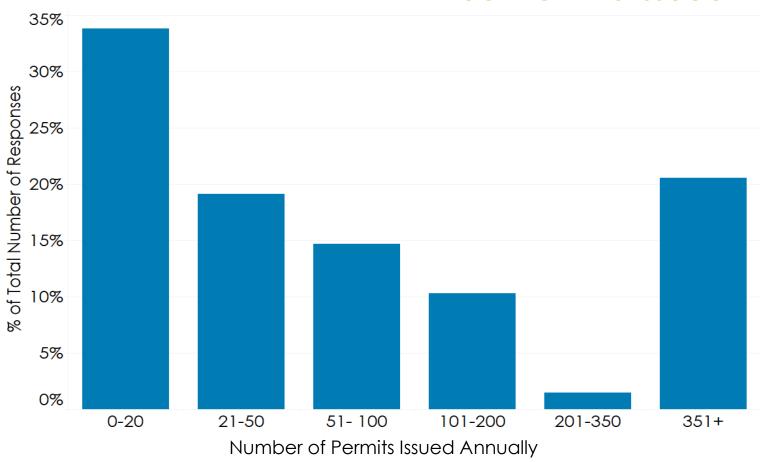
Findings Qualifying Questions

- 69 Code Officials Responded
- Mainly working in CZ 5, with some working in 4, and some in both 4 and 5
- All directly involved in enforcement of commercial energy code
- 75% said enforcing energy code in existing buildings is important or extremely important



Findings

Annual Permits Issued



60% stated that existing building permits made up over 71% of total permits issued



Findings Permits Required by Project Type

Project Type	Requires Permits	Does Not Require Permits
Roof Alterations	94.12%	5.88%
Exterior Wall Modifications	97.01%	2.99%
Window Alterations	82.26%	17.74%
Lighting Alterations	80.33%	19.67%
HVAC Alterations	86.44%	13.56%

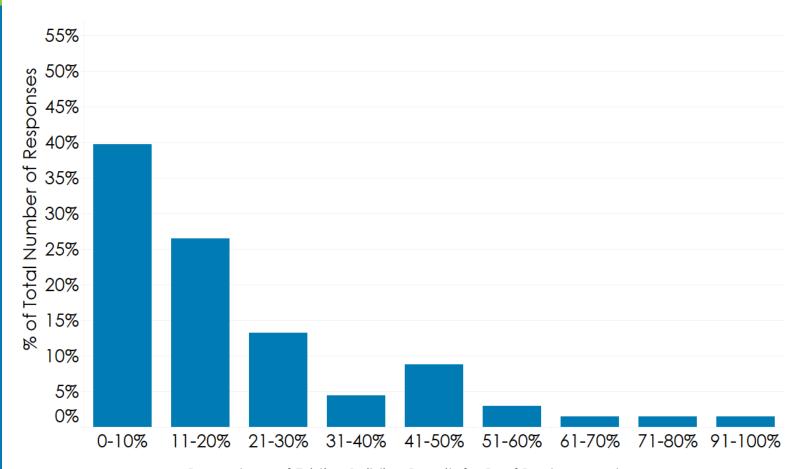


Differences in Permitting

Breakdown by Building Component



Findings Roof Replacement Permits

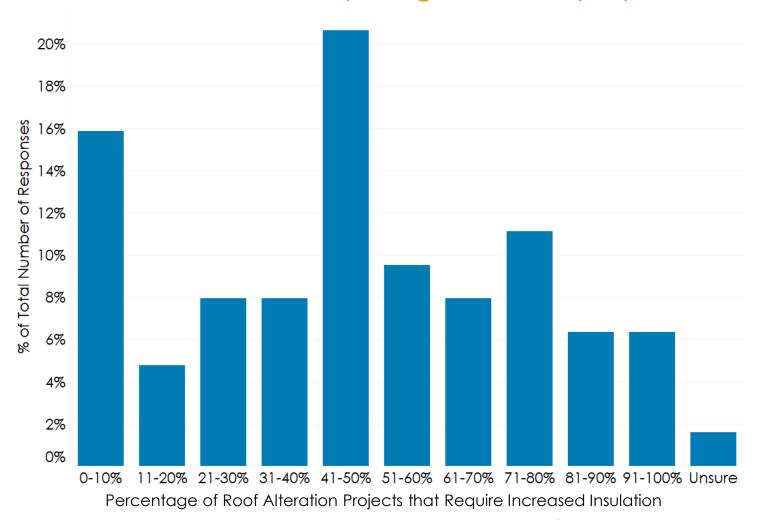


Percentage of Existing Building Permits for Roof Replacements



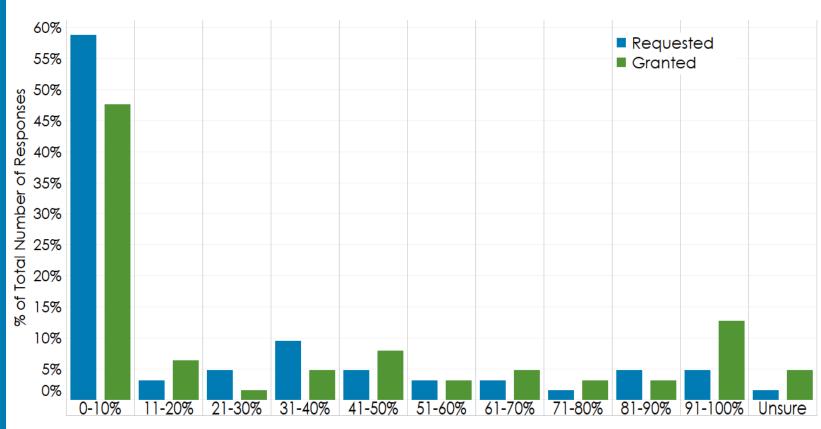
Findings

Roof Alterations Requiring Efficiency Updates





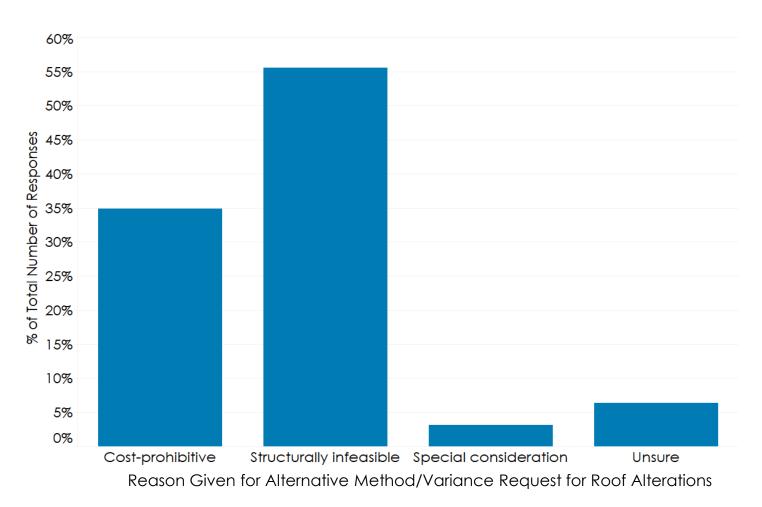
Findings Roofing Variance Requests



Percent of Roof Alteration Projects Requesting/Granted Variance

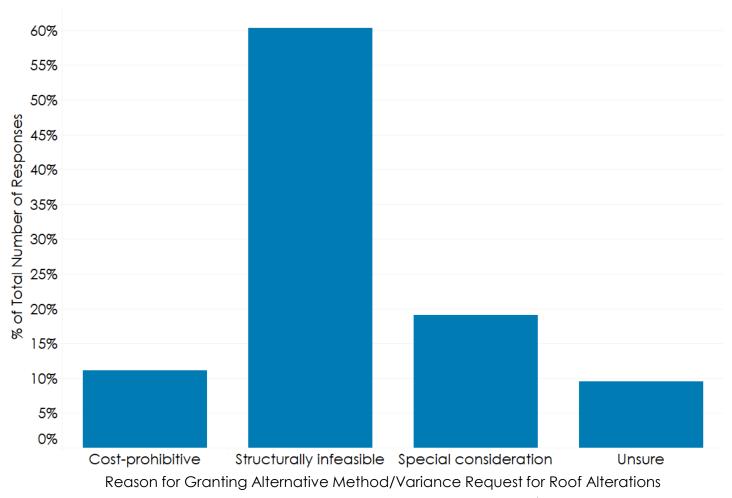


Findings Reason for Requesting Roofing Variance





Findings Reason for Granting Roofing Variance





Findings

Differences Between Building Components

- Fewer permits were issued for modifications to building thermal envelope than lighting and HVAC alterations
- Projects to modify windows, lighting and HVAC often required improving the level of efficiency
- If variances were granted for window, lighting, and HVAC alterations, they were because of special considerations given due to overall compliance

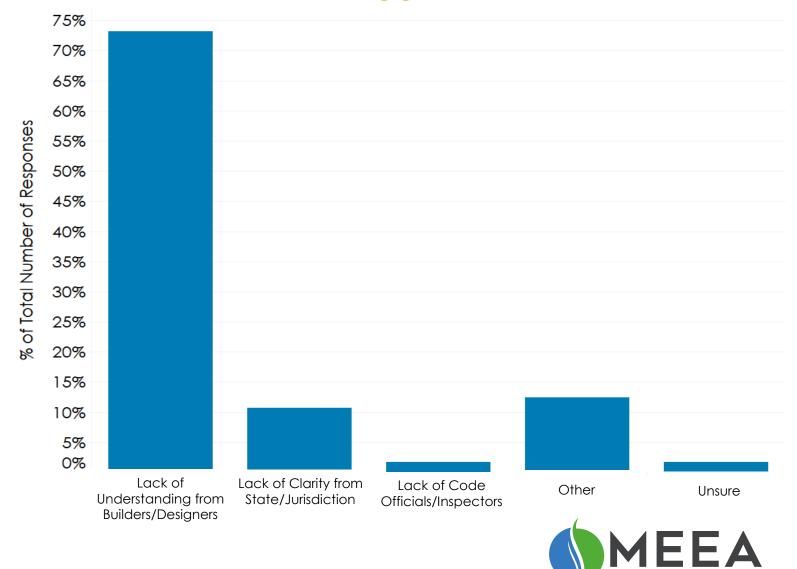


Code Official Feedback

Enforcement



Findings Biggest Enforcement Issue



Findings

Additional Trainings and Guidance

- 59% expressed interest in receiving ICC certified trainings on energy code compliance
- Some thought more clarity written into the code and additional guidance about variances would be beneficial
- A few suggested real-world examples would be helpful when applying commercial energy code to existing buildings



Findings

Additional Code Official Thoughts

- The energy code is not enforced uniformly across jurisdictions
- Some code officials are more lenient than others about energy code requirements
- Some code officials see the energy code as unrelated to matters of public health and safety



Conclusions

Key Findings

- More permits issued for lighting and HVAC modifications than changes to building thermal envelope
- Builders and designers rarely request a variance to the energy code
- Requests for variance are rarely granted
- Primary challenge to enforcing energy code was lack of understanding by builders/designers



Conclusions

Next Steps

- Possible training opportunities for code officials and builders/designers
- Work with ICC to include more clarity around variances and existing buildings in code commentary
- Guidance from state interpretation
- Guidance from collaborative



Thank you!

Nicole Westfall Midwest Energy Efficiency Alliance nwestfall@mwalliance.org





Discussion: Items Identified in Past Meetings



Energy Codes Compliance Collaborative

- Formed early 2017
- Part of startup of statewide utility energy savings program (Lack of state budget prevented full program implementation)
- 3 meetings February 2017
 - O'Fallon
 - East Peoria
 - Oak Brook



What is one specific item that you see is lacking in compliance?

What is one thing you need to help improve compliance?



Past East Peoria Discussion

- Normal the city made a decision that unless the city has formally adopted it, they cannot enforce it. So they adopt it. Normal accepts RES check or prescriptive path.
- Peoria REScheck or COMcheck. Biggest challenge is that during the field inspection they have varied levels of compliance. Life Safety codes are more important than Energy Codes. ERI path is not being accepted by Peoria at this time but they are exploring it (does not seem to be utilized by anyone here). Peoria only accepts RES check. Does not accept ERI at this time.



Past East Peoria Discussion

- Washington Haven't formally adopted.
 Don't have the time through their parttime building inspector to ensure that they
 get formal training and can do a thorough
 job. If money and training was provided,
 they would be happy to do it. Not
 accepting REScheck.
- Bloomington Not the inspectors job to train the contractors, although they try. You can try to train the contractor but as soon as they are out the door, it's over because they hire someone else. We require REScheck and COMcheck.



Past East Peoria Discussion

- Not doing attic insulation inspections for liability issues
- RESchecks are not accurate
- Low priority in rural areas
- furnace oversizing is pretty popular.
- HVAC guy is doing it because he doesn't want callbacks
- Lack of residential and knowledge by the homebuyers; only care about safety and mold.
- Already too much regulation



Past East Peoria Opportunities

- 3rd party enforcement Free to AHJ
- Desire to have it implemented on a region-wide level really helps.
- Start educating youngsters at school so they go and talk to their parents.
- Level playing field needed
- PlanetClark.com
- Commissioning checklist



Past East Peoria Opportunities

- Can we educate about the "why"?
 Debunk myths.
- Market transformation programs
- Statewide network of providers
- Incentive programs
- Rating third party program
- Need more people on the ground to do inspections





Discussion: Collaborative Structure



Nebraska

- Formed Jan 2013, based on BCAP gap analysis, continuation of adoption group
- Set up by MEEA & BCAP
- MEEA & NE Energy Office co-chair
- Had subcommittees, now doesn't
- Meets quarterly
- Sets annual goals
- Mainly residential but wants more commercial involvement



Kentucky Stakeholder Group

- Formed Fall 2014
- Essential part of Energy Code
 Compliance Improvement Program
- Group helpful in outreach, providing feedback on trainings, creating local messaging
- Met quarterly



Minnesota Structure and Committees

- Formed in 2014
- Interpretation & Verification
- Residential Education & Training
- Commercial Education & Training
- Multifamily
- Policy
 - (determining if Collaborative will take on adoption)



Illinois Collaborative Structure

- Formed 2017
- MEEA facilitates
- Meets how often?
 - Phone vs. in-person
- Any annual goals?
- Any subcommittees?





Discussion: Involvement in Baseline Studies



Baseline Studies

Key Observational Items

- Envelope Tightness
- Window U-factor
- Wall Insulation
- Ceiling Insulation
- Foundation Insulation
- High Efficacy Lighting
- Duct Leakage
- Manual J Data
- Manual D Data
- Anything else?





Next Steps
Next Meeting Date



What would you like to see covered at the next meeting?

What did we miss today?



Next Steps

- Encourage participation from peers in the collaborative
- Attend next meetings
- Participate in Baseline Studies
- Follow-up on existing buildings survey?
- Anything else?



Questions?

Contact
Alison Lindburg
alindburg@mwalliance.org

